

106TH CONGRESS
2D SESSION

H. R. 5198

To protect the health and welfare of children involved in research.

IN THE HOUSE OF REPRESENTATIVES

SEPTEMBER 18, 2000

Ms. DEGETTE (for herself and Mr. LATOURETTE) introduced the following bill; which was referred to the Committee on Commerce

A BILL

To protect the health and welfare of children involved in research.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “Children’s Research
5 Protection Act”.

6 **SEC. 2. FINDINGS, PURPOSES, AND DEFINITION.**

7 (a) FINDINGS.—Congress makes the following find-
8 ings:

9 (1) Children are the future of the Nation and
10 the preservation and improvement of child health is
11 in the national interest.

1 (2) The preservation and improvement of child
2 health may require the use of pharmaceutical prod-
3 ucts.

4 (3) Currently only 1 out of 5 drugs on the mar-
5 ket in the United States have been approved for use
6 by children. The enactment of the provisions of the
7 Food and Drug Administration Modernization Act
8 (Public Law 105–115) relating to pediatric studies
9 of drugs, however, is expected to increase the pedi-
10 atric testing of pharmaceuticals and thus to increase
11 the numbers of children involved in research.

12 (4) Children are a vulnerable population and
13 thus need additional protections for their involve-
14 ment in research relative to adults. Yet, current
15 Federal guidelines for the protection of children in-
16 volved in research have not been updated since
17 1981. Only research funded by the Department of
18 Health and Human Services and the Department of
19 Education have additional regulations for the protec-
20 tion of children in research. These regulations have
21 not been adopted by other Federal agencies, are not
22 required for research regulated by the Food and
23 Drug Administration, and do not apply to other non-
24 federally funded research.

(5) Currently, in the United States, there is a shortage of pharmacologists trained to address the unique aspects of developing therapies for children. There are fewer than 200 academic-based clinical pharmacologists in the United States, of which 20 percent or fewer are pediatricians. Currently, only 20 physicians complete clinical pharmacology specialty training programs each year, and of these, only 2 or fewer specialize in pediatric pharmacology.

(b) PURPOSES.—It is the purpose of this Act to—

(1) ensure the adequate and appropriate protection of children involved in research by—

(A) reviewing and updating as needed the Federal regulations that provide additional protections for children participating in research as contained in subpart D of part 45 of title 46, Code of Federal Regulations;

(B) extending such subpart D to all research regulated by the Secretary of Health and Human Services; and

(C) requiring that all Federal agencies adopt regulations for additional protections for children involved in research that is conducted, supported, or regulated by the Federal Government; and

1 (2) ensure that an adequate number of pedi-
2 atric clinical researchers, including pediatric phar-
3 macologists, are trained and retained, in order to
4 meet the increased demand for expertise in this area
5 created by the pediatric studies provisions of the
6 Food and Drug Administration Modernization Act
7 (Public Law 105–115), so that all children have ac-
8 cess to medications that have been adequately and
9 properly tested on children.

10 (c) DEFINITION.—In this Act, the term “pediatric
11 clinical pharmacologist” means an individual—

12 (1) who is board certified in pediatrics; and

13 (2) who has additional formal training and ex-
14 pertise in human pharmacology.

15 **SEC. 3. REVIEW OF REGULATIONS.**

16 (a) REVIEW.—By not later than 6 months after the
17 date of enactment of this Act, the Secretary of Health and
18 Human Services shall have conducted a review of the regu-
19 lations under subpart D of part 45 of title 46, Code of
20 Federal Regulations, considered any modifications nec-
21 essary to ensure the adequate and appropriate protection
22 of children participating in research, and implement any
23 modifications necessary to ensure human subject protec-
24 tions of children in research.

1 (b) AREAS OF REVIEW.—In conducting the review
2 under subsection (a), the Secretary of Health and Human
3 Services shall consider—

4 (1) the appropriateness of the regulations for
5 children of differing ages and maturity levels, includ-
6 ing legal status;

7 (2) the definition of “minimal risk” and “a
8 minor increase over minimum risk” and the manner
9 in which such definition varies for a healthy child as
10 compared to a child with an illness;

11 (3) the definitions of “assent” and “permis-
12 sion” for child clinical research participants and
13 their parents or guardians and of “adequate provi-
14 sions” for soliciting assent or permission in research
15 as such definitions relate to the process of obtaining
16 the informed consent of children participating in re-
17 search and the parents or guardians of such chil-
18 dren;

19 (4) the definitions of “direct benefit to the indi-
20 vidual subjects” and “generalizable knowledge about
21 the subject’s disorder or condition”;

22 (5) whether or not payment (financial or other-
23 wise) may be provided to a child or his or her parent
24 or guardian for the participation of the child in re-
25 search, and if so, the amount and type given;

1 (6) the expectations of child research partici-
2 pants and their parent or guardian for the direct
3 benefits of the child's research involvement;

4 (7) safeguards for research involving children
5 conducted in emergency situations with a waiver of
6 informed assent;

7 (8) parent and child notification in instances in
8 which the regulations have not been complied with;

9 (9) compliance with the regulations in effect on
10 the date of enactment of this Act, the monitoring of
11 such compliance, and enforcement actions for viola-
12 tions of such regulations; and

13 (10) the appropriateness of current practices
14 for recruiting children for participation in research.

15 (c) CONSULTATION.—In conducting the review under
16 subsection (a), the Secretary of Health and Human Serv-
17 ices shall consult broadly with experts in the field, includ-
18 ing pediatric pharmacologists, pediatricians, bioethics ex-
19 perts, clinical investigators, institutional review boards, in-
20 dustry experts, and children who have participated in re-
21 search studies and the parents or guardians of such chil-
22 dren.

23 (d) CONSIDERATION OF ADDITIONAL PROVISIONS.—
24 In conducting the review under subsection (a), the Sec-
25 retary of Health and Human Services shall consider and,

1 not later than 6 months after the date of enactment of
2 this Act, report back to Congress concerning—

3 (1) whether the Secretary should establish na-
4 tional data and safety monitoring boards to review
5 adverse events associated with research involving
6 children; and

7 (2) whether the institutional review board over-
8 sight of clinical trials involving children is adequate
9 to protect the children.

10 **SEC. 4. REQUIREMENT FOR ADDITIONAL PROTECTIONS**
11 **FOR CHILDREN INVOLVED IN RESEARCH.**

12 (a) IN GENERAL.—Notwithstanding any other provi-
13 sion of law, not later than 6 months after the date of en-
14 actment of this Act, the Secretary of Health and Human
15 Services shall require that all research involving children
16 that is conducted, supported, or regulated by the Depart-
17 ment of Health and Human Services be in compliance with
18 subpart D of part 45 of title 46, Code of Federal Regula-
19 tions.

20 (b) OTHER FEDERAL AGENCIES.—Not later than 12
21 months after the date of enactment of this Act, all Federal
22 agencies shall have promulgated regulations to provide ad-
23 ditional protections for children involved in research.

24 (c) REQUIREMENTS FOR INSTITUTIONAL REVIEW
25 BOARDS.—When reviewing a proposal that will include a

1 child as a subject of research, the Institutional Review
2 Board involved shall include members who are experts in
3 the issues involving pediatric research. Such members
4 shall be allowed to fully participate in the Board review
5 process and have the same voting rights as other Board
6 members.

7 **SEC. 5. GRANTS FOR PEDIATRIC PHARMACOLOGY.**

8 (a) IN GENERAL.—The Secretary of Health and
9 Human Services shall award grants to qualified academic
10 research institutions and research networks with the ap-
11 propriate expertise to provide training in pediatric clinical
12 pharmacology, such as the Pediatric Pharmacology Re-
13 search Units of the National Institute of Child Health and
14 Human Development, and the Research Units of the Na-
15 tional Institute of Mental Health, to enable such entities
16 to provide fellowship training to individuals who hold an
17 M.D. in order to ensure the specialized training of pedi-
18 atric clinical pharmacologists.

19 (b) AMOUNT OF GRANT.—In awarding grants under
20 subsection (a), the Secretary of Health and Human Serv-
21 ices shall ensure that each grantee receive adequate
22 amounts under the grant to enable the grantee to fund
23 at least 1 fellow each year for a 3-year period, at a total
24 of \$100,000 per fellowship per year.

1 (c) AUTHORIZATION OF APPROPRIATIONS.—For the
2 purpose of carrying out this section, there are authorized
3 to be appropriated such sums as may be necessary for
4 each fiscal year.

5 **SEC. 6. PEDIATRIC RESEARCH LOAN REPAYMENT PRO-**
6 **GRAM.**

7 Part G of title IV of the Public Health Service Act
8 is amended by inserting after section 487E (42 U.S.C.
9 288–5) the following:

10 **“SEC. 487F. PEDIATRIC RESEARCH LOAN REPAYMENT PRO-**
11 **GRAM.**

12 “(a) IN GENERAL.—The Secretary, in consultation
13 with the Director of the National Institute of Child Health
14 and Human Development, shall establish a pediatric re-
15 search loan repayment program. Through such program—

16 “(1) the Secretary shall enter into contracts
17 with qualified pediatricians under which such pedia-
18 tricians will agree to conduct pediatric research, in-
19 cluding pediatric pharmacology, in consideration of
20 the Federal Government agreeing to repay, for each
21 year of such service, not more than \$35,000 of the
22 principal and interest of the educational loans of
23 such pediatricians; and

24 “(2) the Secretary shall, for the purpose of pro-
25 viding reimbursements for tax liability resulting

1 from payments made under paragraph (1) on behalf
2 of an individual, make payments, in addition to pay-
3 ments under such paragraph, to the individual in an
4 amount equal to 39 percent of the total amount of
5 loan repayments made for the taxable year involved.

6 “(b) APPLICATION OF OTHER PROVISIONS.—The
7 provisions of sections 338B, 338C, and 338E shall, except
8 as inconsistent with subsection (a), apply to the program
9 established under such subsection to the same extent and
10 in the same manner as such provisions apply to the Na-
11 tional Health Service Corps Loan Repayment Program es-
12 tablished under subpart III of part D of title III.

13 “(c) AVAILABILITY OF FUNDS.—Amounts made
14 available to carry out this section shall remain available
15 until the expiration of the second fiscal year beginning
16 after the fiscal year for which such amounts were made
17 available.

18 “(d) AUTHORIZATION OF APPROPRIATIONS.—For the
19 purpose of carrying out this section, there are authorized
20 to be appropriated such sums as may be necessary for
21 each fiscal year.”.

1 **SEC. 7. LOAN FORGIVENESS PROGRAM FOR HEALTH CARE**
2 **PROFESSIONALS CONDUCTING RESEARCH**
3 **INTO CHILDHOOD DISEASES.**

4 Part G of title IV of the Public Health Service Act,
5 as amended by section 6 of this Act, is amended by insert-
6 ing after section 487F the following:

7 **“SEC. 487G. LOAN FORGIVENESS PROGRAM FOR HEALTH**
8 **CARE PROFESSIONALS CONDUCTING RE-**
9 **SEARCH INTO CHILDHOOD DISEASES.**

10 “(a) IN GENERAL.—The Secretary, in consultation
11 with the Director of the National Institutes of Health,
12 shall establish a loan forgiveness program for health care
13 professionals conducting research into childhood diseases.
14 Through such program—

15 “(1) the Secretary shall enter into contracts
16 with qualified health care professionals under which
17 such health care professionals will agree to conduct
18 research into diseases that are prevalent in children
19 in consideration of the Federal government agreeing
20 to repay, for each year of such service, not more
21 than \$35,000 of the principal and interest of the
22 educational loans of such health care professionals;
23 and

24 “(2) the Secretary shall, for the purpose of pro-
25 viding reimbursements for tax liability resulting
26 from payments made under paragraph (1) on behalf

1 of an individual, make payments, in addition to pay-
2 ments under such paragraph, to the individual in an
3 amount equal to 39 percent of the total amount of
4 loan repayments made for the taxable year involved.

5 “(b) APPLICATION OF OTHER PROVISIONS.—With
6 respect to the National Health Service Corps Loan Repay-
7 ment Program established in subpart III of part D of title
8 III, the provisions of such subpart shall, except as incon-
9 sistent with subsection (a) of this section, apply to the pro-
10 gram established in such subsection (a) in the same man-
11 ner and to the same extent as such provisions apply to
12 the National Health Service Corps Loan Repayment Pro-
13 gram established in such subpart.

14 “(c) AVAILABILITY OF FUNDS.—Amounts made
15 available to carry out this section shall remain available
16 until the expiration of the second fiscal year beginning
17 after the fiscal year for which such amounts were made
18 available.

19 “(d) AUTHORIZATION OF APPROPRIATIONS.—For the
20 purpose of carrying out this section, there are authorized
21 to be appropriated such sums as may be necessary for
22 each of the fiscal years 2001 through 2005.”.

1 **SEC. 8. EFFECTIVE DATE.**

2 The provisions of sections 5, 6, and 7 shall take effect
3 on the date that is 6 months after the date of enactment
4 of this Act.

